

Tax Declaration and Self-Certification

For individual investors

Introduction

Under Automatic Exchange of Information (AEOI) regulations we are required to obtain certain information from our investors, including where they were born, their nationalities, countries of tax residence*, related tax identification numbers** and their 'self-certification' that the information they provide is true and complete. We may have to provide this information to our domestic tax authority who may share it with overseas tax authorities.

*Country of tax residence

Normally you are resident for taxation in the country in which you spend the majority of your time each year. However, some countries (e.g., the United States) may also treat an individual as resident for taxation based on their nationality or citizenship. Because tax residence is determined by the country in which tax is paid, it is possible to be tax resident in more than one country.

**Tax identification number (TIN)

TIN stands for 'Tax Identification Number' and is a country specific unique number issued to an individual or entity for tax administration purposes. A detailed explanation of country specific TINs is provided by the Organisation for Economic Co-operation and Development's (OECD) on its website

<http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759>.

Change of circumstances

The information in this form and your self-certification will remain valid unless there is a change of circumstance which affects that information. If we receive information which suggests your country of tax residence has changed, we may ask you to complete another self-certification. You must notify us if there is a change in circumstance that makes this form incorrect or incomplete.

Note

- For help to work out where you are tax resident, or if you are unsure about your TIN, please consult your financial adviser or refer to the information at www.oecd.org/tax/automatic-exchange.
- If you are unsure what information to include, please consult your financial adviser.
- Your financial adviser will also be able to confirm that this is the most up-to-date version of this form.
- References to investor means an account/bond/plan/policy holder with a regulated Quilter International company, details of which are shown in the relevant company's legal information at the end of this form.
- If there are more than two investors, please provide the additional investor's information on a separate form.

Please tick/complete this form, as applicable, using BLOCK CAPITALS and blue or black ink.

Section 1 – Your details

Account/bond/plan/policy number

▶ if known:

Financial adviser:

Sole or First account holder

Full name:

Residential address:

Postcode

Country

Date address is valid from:

D	D	M	M	Y	Y	Y	Y
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If your residential address has changed in the last two years, please provide your previous address

Previous residential address
(if applicable):

Postcode

Country

Correspondence address:

Postcode

Country

Date of birth:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Town/City of birth:

Country of birth:

Contact number:

E-mail address:

Nationality(ies)	Country(ies) of tax residence	Tax identification number(s) (TIN)	If no TIN, please state reason(s)

▶ If you are unable to provide a TIN, please insert 'not applicable' in the relevant TIN box with a reason for not providing the TIN in the box to the right. If you need to photocopy this page to provide further details, please ensure all additional pages are initialled by all account holders

US citizenship or tax residence

We will presume that you are not a US citizen or resident in the US for tax purposes and as such, please leave this question blank. However, if this is not the case please tick either a) or b) below, as applicable:

- a) I confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identifying number (US TIN) is shown above.
- b) I confirm that I was born in the US (or a US territory) but I am no longer a US citizen.***

***If you choose option b, you must provide your US loss of nationality certificate.

Joint account holder ▶ if applicable:

Full name:

Residential address:

Postcode

Country

Date address is valid from:

D	D	M	M	Y	Y	Y	Y
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If your residential address has changed in the last two years, please provide your previous address

Previous residential address
(if applicable):

Postcode

Country



Correspondence address:

Postcode

Country

Date of birth:

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Town/City of birth:

Country of birth:

Contact number:

E-mail address:

Nationality(ies)	Country(ies) of tax residence	Tax identification number(s) (TIN)	If no TIN, please state reason(s)

If you are unable to provide a TIN, please insert 'not applicable' in the relevant TIN box with a reason for not providing the TIN in the box to the right. If you need to photocopy this page to provide further details, please ensure all additional pages are initialled by all account holders.

US citizenship or tax residence

We will presume that you are not a US citizen or resident in the US for tax purposes. However, if this is not the case please tick either a) or b) below, as applicable:

- a) I confirm that I am a US citizen and/or resident in the US for tax purposes (green card holder or resident under the substantial presence test) and my US federal taxpayer identifying number (US TIN) is shown above.
- b) I confirm that I was born in the US (or a US territory) but I am no longer a US citizen.***

***If you choose option b, you must provide your US loss of nationality certificate.

Section 2 – Declaration and signature(s)

This declaration is made by each investor whose details are shown in section 1.

1. I understand that for regulatory purposes, Quilter International is required to obtain information concerning my taxation status.
2. I declare that:
 - a) I am resident for taxation in the country(ies) shown in section 1 of this form and I am not resident for taxation elsewhere.
 - b) I am a national of the country(ies) shown in section 1 of this form and I am not a national or a citizen of any other country.
 - c) I will inform Quilter International of any changes in circumstances which affect my tax residency status and that of any other individuals detailed in this form, or which causes the information to become incorrect, and to provide Quilter International with a suitably updated self-certification and declaration in the event of such a change of circumstances.
3. I understand that:
 - a) Quilter International may need to contact me for further details regarding tax information.
 - b) Quilter International may be required by law to transfer information provided by me to relevant tax authorities under automatic exchange of tax information regulations.
 - c) If the details provided differ to the ones held on file, Quilter International will use this form to update our records.
4. I declare that the information in this form and the statements made in this declaration are correct and complete, to the best of my knowledge and belief.

Signature(s) ▶ All investors named in section 1 must sign below:

Investor 1

Date

D	D	M	M	Y	Y	Y	Y
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Investor 2

Date

D	D	M	M	Y	Y	Y	Y
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Calls may be monitored and recorded for training purposes and to avoid misunderstandings.

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Quilter International Middle East Limited is authorised and regulated by the Dubai Financial Services Authority.

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